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\*Admitted only in Virginia  
\*Practice Limited to  
Federal Agencies

GAU 3622  
11/18/03  
PA  
#28

November 12, 2003

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**GROUP 3600**

**WRITER'S DIRECT NUMBER:**

202-772-8674

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Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**Art Unit 3622**

Re: U.S. Non-Provisional Utility Patent Application  
Appl. No. 09/592,086; Filed: June 12, 2000  
For: **Apparatus for Preparing Client Communications  
Involving Financial Products and Services**  
Inventor: Richard Marc Libman  
Our Reference: 2176.0010002

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Sixth Supplemental Information Disclosure Statement;
2. Form PTO-1449 listing and accompanied by two (2) documents; and
3. One return postcard.


It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
November 12, 2003  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

 39,987  
to Michael Q. Lee  
Attorney for Applicant  
Registration No. 35,239

Enclosures

MQL/sjc  
::ODMA\MHODMA\SKGF\_DC1;198526;1  
SKGF Rev. 2/15/02 dcwp



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Richard Marc LIBMAN

Appl. No. 09/592,086

Filed: June 12, 2000

For: **Apparatus for Preparing Client  
Communications Involving  
Financial Products and Services**

Confirmation No. 6844

Art Unit: 3622

Examiner: Alvarez, Raquel

Atty. Docket: 2176.0010002

**Sixth Supplemental Information Disclosure Statement**

Commissioner for Patents  
Washington, D.C. 20231

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**GROUP 3600**

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Sixth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicant's Fifth Supplemental Information Disclosure Statement filed on September 22, 2003 in connection with the above-captioned application.

Applicant has listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the dates indicated.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

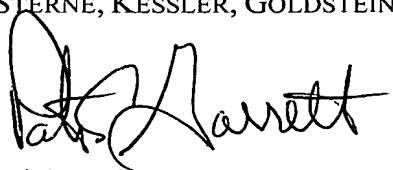
This Information Disclosure Statement is being filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required. 37 C.F.R. § 1.97(b).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents listed therein have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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Date: 11/12/03

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Page 1 of 1

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SIXTH SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
2176.0010002

APPLICATION NO.  
09/592,086

APPLICANT  
Richard Marc LIBMAN

FILING DATE  
June 12, 2000

GROUP  
3622

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AK						Yes No
	AL						Yes No
	AM						Yes No
	AN						Yes No

### OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AO		
	AP	<u>12</u>	Berry, J. et al., "Database Marketing: A Potent New Tool for Selling," <i>Business Week</i> , pp. 56-62 (September 5, 1994).
	AQ	<u>12</u>	"American Express Acquires License For Banc One's Triumph Card Processing Software," <i>PR Newswire</i> , PR Newswire Association, Inc., 2 pages (February 6, 1995).
	AR		

EXAMINER

DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.